

ANNEX A

ROP ERDF Basilicata 2014-2020

Public Call for selection of interregional and transnational cooperation projects

(pursuant to art. 96.3.d of Reg. EU No 1303/2013)

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1. Programme and regulatory references

The main programme documents and rules applicable to this Call are listed below:

- Regulation (EU) No 1303/2013 - article 96.3.d;
- D.P.R. (Decree of the President of the Italian Republic) No 22 of 5 February 2018 – “Regulation laying down the criteria for eligibility of expenditure of programmes co-financed by the European Structural and Investment Funds (ESI Funds) over the 2014/2020 programming period” (published on the Official Journal No 71 of 26 March 2018);
- ROP ERDF Basilicata 2014-2020 adopted by the Commission Implementing Decision No C (2015) 5901 of 17 August 2015 and subsequently amended by Decision No C (2018)9114 of 19.12.2018 - Paragraphs 4.4 and 4.5;
- Basilicata 2014-2020 Complementary Operational Programme (POC) approved by Executive Decree issued by the Basilicata regional government No 1046/2018, as amended by Executive Decree issued by the Basilicata regional government No 1137/2018, approved by CIPE Resolution No 71 of 28 November 2018;
- Basilicata S3 Strategy - 2014-2020 Regional strategy for innovation and smart specialisation”;
- EUSAIR - Communication of the Commission to the European Parliament, the Council, the European Social and Economic Committee and the European Committee of the Regions concerning the EU Strategy for the Adriatic and Ionian Region - COM(2014) 357 of 17.6.2014 <https://www.adriaticionianeuroregion.eu> e <https://www.adriatic-ionian.eu/>;
- Selection criteria of operations approved by the Monitoring Committee of the ROP ERDF Basilicata 2014-2020 (version 12.0) - Paragraph 5.3 - “Criteria of eligibility and selection/assessment of interregional and transnational operations”
- “Description of the existing functions and procedures of the Management Authority and the Certifying Authority of the Basilicata 2014/2020 ERDF OP and the procedure manuals attached thereto;
- Financing plan with details on the Axes and Actions of the Basilicata 2014-2020 ERDF OP, referred to in the Executive Decree issued by the Basilicata regional government No 1311 of 2018 which, among other things, includes the approximate amount allocated for each axis and the resources given to cooperation actions.

The rules and documents mentioned above are available on the website of the ROP ERDF Basilicata 2014-2020 at: <http://europa.basilicata.it/FESR>.

2. Objective

1. In line with the provisions of the Basilicata 2014/2020 ERDF OP and art. 96.3.d of the Regulation (EU) No 1303/2013, the Basilicata region promotes interregional and/or transnational actions through the creation of partnerships among partners operating in Basilicata and partners operating in other Italian regions and/or foreign countries, as referred to in paragraph 2 below, of which at least one partner must operate in another EU Member State.

2. For the purposes of this Call, in line with the provisions of article 3 of the Regulation (EU) No 1299/2013, the following terms shall be defined as follows:
 - a) Interregional actions shall mean any actions involving, in addition to partners operating in Basilicata, at least one partner located in the European Union;
 - b) Transnational actions shall mean any actions involving, in addition to partners operating in Basilicata and in the European Union, at least one foreign partner operating in a third (non-EU) country potentially eligible under the 2014/2020 transnational cooperation programmes¹ and which also include the Basilicata Region (see Third countries of the Interreg MED programme and the ADRION programme)².
3. The actions must be consistent with the specific objectives set out in the 2014-2020 Basilicata ERDF OP. This Call shall give priority to actions in the fields covered by the Integrated Territorial Investments (ITI) provided for in paragraph 4.3 of the 2014-2020 Basilicata ERDF OP³, as well as actions consistent with the S3 smart specialisation strategy of the Basilicata Region⁴ and actions contributing to the pursuit of the macro-regional strategy defined by the EUSAIR⁵ programme.
4. Projects must primarily aim at the following two objectives:
 - A. establish new networks or strengthen/enhance existing networks with European partners for the exchange of good practice or knowledge, including by giving value to, and capitalising on, the experience gained through the participation in EU funded projects (including ETC programmes) and/or favour the participation of the regional research system in European networks on research, technological innovation and economy digitisation (hereinafter the **Line "A"**);

¹ It means eligibility under the programme in terms of geographical area of cooperation.

² In the case of the MED programme, these are the regions of the IPA countries: Albania, Bosnia and Herzegovina and Montenegro. In the case of the ADRION program, these are the regions of Albania, Bosnia and Herzegovina, Montenegro and Serbia. It should be pointed out that these are the same non-EU countries as the EUSAIR strategy.

³ These are the Integrated Territorial Investments (ITI) for the Urban Development of the Cities of Potenza and Matera and the ITI for the inner areas of the 4 territorial areas including 42 municipalities: Montagna Materana (Accettura; Aliano; Cirigliano; Craco; Gorgoglione; Stigliano; San Mauro Forte and Oliveto Lucano); Mercure Alto Sinni Val Sarmiento (Calvera; Carbone; Castronuovo di Sant'Andrea; Chiaromonte; Fardella; Francavilla in Sinni; San Severino Lucano; Senise; Teana; Viggianello; Castelluccio Inferiore; Castelluccio Superiore; Rotonda; Cersosimo; Noepoli; San Costantino Albanese; San Paolo Albanese; Terranova di Pollino and San Giorgio Lucano); Alto Bradano (Acerenza; Banzi; Forenza; Genzano di Lucania; Oppido Lucano; Palazzo San Gervasio; San Chirico Nuovo; Tolve); Marmo Platano (Balvano; Baragiano; Bella; Castelgrande; Muro Lucano; Pescopagano and Ruoti).

⁴ The smart specialisation strategy n(S3) of the Basilicata Region provides for 5 areas of specialisation: 1. Automotive; 2. Cultural and creative industry; 3) Energy; 4. Bioeconomy; 5. Aerospace; and a cross-cutting area of specialisation: Digital Agenda/ICT. Each area of specialisation is broken down in paths. The S3 strategy can be found at: europa.basilicata.it/FESR.

⁵ The 4 pillars of the EUSAIR strategy are: 1. Blue growth; 2. Connecting the region; 3. Environmental quality; 4. Sustainable tourism. It also provides for two cross-cutting topics: (i) Research, innovation and SMEs; (ii) Capacity building, including communication.

- B. improve local development and/or core service strategies and planning and/or develop new governance tools in regional and/or local administrative management (hereinafter the **Line "B"**), and notably:
- a) enable the acquisition of new knowledge to provide innovative solutions to local collective needs, including to cut the costs of services provided to citizens by beneficiary organisations;
 - b) promote the creation of new opportunities for social innovation and acquire methods that lead to the desired social and economic effects in the areas concerned;
 - c) facilitate the implementation of factors enabling the territorial areas concerned to counter their remoteness, by means of actions aimed at encouraging, without limitation: activities aimed at enhancing the tangible and intangible historical, cultural and environmental heritage of the area concerned; activities aimed at improving the quality of life of the area concerned; joint activities aimed at increasing the competitiveness of the goods and services of the area concerned.

3. Types of operations

1. The submitted operations shall be consistent with the specific objectives (SO) of the Basilicata 2014/2020 ERDF OP and with the relevant areas of intervention indicated in the paragraphs below and concerning the following Axes:
 - Axis 1 - "Research, technological development and innovation" (TO 1);
 - Axis 4 - "Energy and urban mobility" (TO 4);
 - Axis 5 - "Environment protection and resource efficiency" (TO 6);
 - Axis 7 - "Social inclusion" (TO 9);
 - Axis 8 - "Strengthening of the education system" (TO 10).

2. For projects under Line "A", any operations consistent with the Specific Objectives and areas of intervention of the ROP ERDF Basilicata 2014-2020- as set out in the following Table "A", which includes, by way of example, potential areas of action, shall be eligible.

For projects under Line "B", any operations consistent with the following Specific Objectives and areas of intervention of the ROP ERDF Basilicata 2014-2020- as set out in Table "B" below, which includes, by way of example, potential areas of action - shall be eligible.

Table "A" — Specific Objectives and areas of intervention of Line "A"

Specific Objective/Investment Priority	Area of intervention (see Annex) 3)	Potential areas of action
Axis 1 - "Research, technological development and innovation" (TO 1)		
SO 1.5 – "Enhancing capacity to develop R&I excellence" / Investment priority – 1a	58	Development of projects aimed at strengthening, upgrading and/or integrating into a network the research infrastructures, including those of the Regional Plan on research infrastructures consistent with the S3 strategy
SO 1.2 – "Strengthening the regional and national innovative system" / Investment priority – 1b	60 63	Development of projects supporting the development of joint national, European and/or transnational research and innovation projects involving highly skilled professionals.
Axis 4 - "Energy and urban mobility" (TO 4)		
	68	Development of projects aimed at reducing energy consumption and climate-altering emissions of enterprises and production areas, including the installation of renewable power plants for self-consumption, with priority being given to high-efficiency technologies.
SO 4.1- "Reducing energy consumption in public (or for public use) buildings and facilities, both residential and non-residential, and integration of renewable sources" / Investment priority – 4c	13 14	Development of projects aimed at: - the renovation of individual buildings or building complexes , the installation of intelligent systems for remote control, regulation, management, monitoring and optimisation of energy consumption (smart buildings) and polluting emissions also through the use of technological mixes - the adoption of technological solutions to reduce the energy consumption of public lighting networks, promoting the installation of automatic regulating systems (light sensors, remote control and management systems

Specific Objective/Investment priority	Area of intervention (see Annex) 3	Potential areas of action
		of the energy grid)
SO 4.3 - "Increasing the share of energy needs covered by power distributed by developing and implementing intelligent distribution systems" / Investment priority – 4d	15	Development of projects for the construction or upgrading of smart grids and the introduction of equipment with digital communication systems, smart metering, control and monitoring as infrastructure in "cities" and peri-urban areas
SO 4.4 - "Increasing the share of energy needs covered by cogeneration and trigeneration" / Investment priority – 4G	16	Development of projects for the construction of cogeneration and trigeneration plants from renewable sources or biodegradable waste
Axis 5 – "Environment protection and resource efficiency" (TO 6)		
SO 6.7 – "Improving the conditions and standards of the offer and use of cultural heritage, in attractive areas" / Investment priority – 6c	79 94 95	Development of projects aimed at supporting knowledge dissemination and use of tangible and intangible cultural heritage, by creating innovative services and/or systems and using advanced technologies
Axis 7 - "Social inclusion" (TO 9)		
SO 9.3 - "Increasing, consolidating and qualifying social-educational care services and infrastructures for children and care services for people with limited autonomy, and enhancing the infrastructure network and the local health and socio-health services" / Investment priority– 9a	52 53 55 81	Development of projects aimed at the implementation of new technologies and innovative solutions in the socio-health field, with particular attention to telemedicine
Axis 8 - "Strengthening of the education system" (TO 10)		
SO 10.8 – "Spreading knowledge society into the world of education and training and adopting innovative educational approaches" / Investment priority – 10a	80	Development of projects aimed at carrying out technological innovation actions in education and industry laboratories on key skills' learning

Table "A" — Specific Objectives and areas of intervention of Line "B"

Specific Objective	Area of intervention (see Annex 3)	Potential areas of action
Axis 4 - "Energy and urban mobility" (TO 4)		
SO 4.2 - "Reducing energy consumption and emissions of enterprises and integrating renewable sources" / Investment priority – 4b	68	Development of projects aimed at reducing energy consumption in the production areas of local authorities, including through the installation of renewable energy plants for self-consumption, prioritising high-efficiency technologies
SO 4.1- "Reducing energy consumption in public (or for public use) buildings and facilities, both residential and non-residential, and integration of renewable sources" / Investment priority – 4c	13 14	Development of projects aimed at: - the renovation of individual buildings or building complexes , the installation of intelligent systems for remote control, regulation, management, monitoring and optimisation of energy consumption (smart buildings) and polluting emissions also through the use of technological mixes - the adoption of technological solutions to reduce the energy consumption of public lighting networks, promoting the installation of automatic regulating systems (light sensors, remote control and management systems of the energy grid)
SO 4.3 - "Increasing the share of energy needs covered by power distributed by developing and implementing intelligent distribution systems" / Investment priority – 4d	15	Development of projects for the construction/upgrading of smart grids and the introduction of equipment with digital communication systems, smart metering, control and monitoring as infrastructure in "cities" and peri-urban areas
SO 4.6 - "Increasing sustainable mobility in urban areas" / Investment priority – 4e	43 44	Development of projects aimed at the construction of infrastructures and interchanges to increase collective mobility. Development of projects on the renewal of rolling stock (buses with low environmental impact) in accordance with Community rules

Specific Objective	Area of intervention (see Annex 3)	Potential areas of action
		concerning emissions to be used in urban areas under public service obligations. Development of projects aimed at strengthening the mobility planning tools of urban areas and, specifically, for the implementation of integrated ticketing systems and information systems for demand management
SO 4.4 -"Increasing the share of energy needs covered by cogeneration and trigeneration" / Investment priority 4g	16	Development of projects for the construction of cogeneration and trigeneration plants from renewable sources or biodegradable waste
Axis 5 – "Environment protection and resource efficiency" (TO 6)		
SO 6.1 – "Optimisation of municipal waste management according to the Community hierarchy"/ Investment priority – 6a	17 18	Development of projects aimed at: - implementing procedures for the introduction of the Green Public Procurement; - promoting initiatives to favour the re-use of products, through the establishment and support of accredited repair/re-use centres and networks; - promoting information and awareness-raising campaigns; - setting up better separate collection systems and an appropriate network of collection centres - strengthening plant facilities for treatment and recovery (of energy too) for the purpose of closing the management cycle.
SO 6.3 – Improving the integrated civil water service and reducing aqueduct losses / Investment priority – 6b	20 21 22	Development of projects aimed at: - strengthening the civil water collection, supply, sewage-disposal and purification infrastructures - Improving the existing storage capacity by setting up, where possible, green infrastructure

Specific Objective	Area of intervention (see Annex 3)	Potential areas of action
SO 6.7 – “Improving the conditions and standards of the offer and use of cultural heritage, in attractive areas” / Investment priority – 6c	79 94 95	Development of projects aimed at: - implementing measures to protect, enhance and integrate into a network the tangible and intangible cultural heritage, in order to consolidate and promote development processes - aimed at supporting knowledge dissemination and use of tangible and intangible cultural heritage, by creating innovative services and/or systems and using advanced technologies.
SO 6.8 - “Competitive repositioning of tourist destinations” / Investment priority – 6c	79 91 92 93	Development of projects aimed at carrying out: - actions to upgrade the tourist amenities, innovate the products/services placed on the market, support the strategic and organisational reorientation of activities in the tourist segment; - measures to support the integrated use of cultural and natural resources of significant tourist interest, as well as adventure, health-related, sport, etc. tourism to enhance the attractiveness of important tourist destinations and diversify the regional tourism supply; - targeted measures to promote the regional tourist supply
SO 6.2 – “Restoring the productive function of polluted areas” / Investment priority – 6e	89	Development of projects aimed at implementing innovative measures and methods of cleaning-up polluted sites
Axis 7 - “Social inclusion” (TO 8)		
SO 9.3 - “Increasing, consolidating and qualifying social-educational care services and infrastructures for children and care services for people with limited autonomy, and enhancing the infrastructure network and the local health and socio-health services	52 53 55 81	Development of projects aimed at: - upgrading the social and welfare infrastructure and the associated facilities for children, the elderly and people with disabilities; - reorganising and strengthening territorial healthcare

Specific Objective	Area of intervention (see Annex 3)	Potential areas of action
at local level/ Investment priority – 9a		primary care and non-hospital services, including the implementation of ICT solutions, e-health services and applications (telemedicine and telecare), the reorganisation of the access welfare network and the development of networks between local services and resources to encourage non-institutionalisation of care services
Axis 8 - "Strengthening of the education system" (TO 10)		
SO 10.7 - "Encouraging young people not to leave education and improve the safety and usability of school environments" / Investment priority – 10a	51 13	Development of projects aimed at renovating school buildings and environments
SO 10.8- "Spreading knowledge society into the world of education and training and adopting innovative educational approaches" / Investment priority -10a	80	Development of projects aimed at carrying out technological innovation actions in education and industry laboratories on key skills' learning

4. Project beneficiaries and partnerships

1. The following bodies (potential beneficiaries) active in the territory of the Basilicata Region, existing and set up as at the date of submission of the application, whose statutory mission is consistent with the types of activities to be carried out, may participate in the Call:
 - for projects under Line "A": Universities, Public Research Centres, Health Authorities and Hospitals
 - For projects under Line "B": Local authorities (Provinces, Municipalities and Unions of Municipalities), Park Authorities, Universities, EGRIB (authority responsible for the management of waste and water resources in Basilicata), Hospitals and Health Authorities, Schools, Regional School Office .
2. In order to participate in this Call, the potential beneficiaries referred to in paragraph 1 undertake to set up, in accordance with the provisions of art. 2, paragraph 2, above, a project partnership that includes at least one foreign partner located in a Region of an EU Member State.
3. The project partnership shall include a Lead Partner to be chosen among the bodies referred to in paragraph 1 above.
4. The submitted projects may involve the participation of the Basilicata Region, through its facilities competent on the matter and/or its operating entities and in-house companies. This provision, on the part of the Lead Partner, is optional and shall not affect the project assessment. In this case, the Region reserves the right to join the project Partnership in the process of becoming eligible for funding by sharing a detailed plan of its activities with the Lead Partner. The costs connected with the possible participation of the Region shall not be included in the support granted, but in the resources referred to in Article 5(3) of this Call. It shall be understood that the submitted projects must pursue the results regardless of the participation of the Basilicata Region.
5. The partners operating in other Italian regions and the foreign Partners (both EU and non-EU) shall be public administrations or bodies governed by public law within the meaning of Article 2(1)(4) of Directive 2014/24/EU.

5. Financial resources, support and co-financing

1. The total resources allocated to this Call amount to Euro 3,134,052.60, of which:
 - a) Euro 2.000.000,00 for operations under Line "A";
 - b) Euro 1.134.052,60 for operations under Line "B".
2. The maximum support to be granted under the ROP ERDF Basilicata 2014-2020 for each eligible project shall be 100 % of the eligible expenditure for beneficiaries operating in Basilicata and, in any case, not higher than Euro 300,000.00, of which:

- a) 50% of EU funds (ERDF);
- b) 50% of national (state and regional) resources⁶.

The maximum amount and the percentage of the support refer to the costs incurred by the beneficiary partners operating in Basilicata only and, therefore, shall not include the costs incurred by partners not operating in Basilicata.

- 3. An amount of Euro 250,000.00, in addition to the one referred to in paragraph 1 above, shall be allocated to cover the estimated costs associated with the participation of the Basilicata Region in the cooperation projects referred to in Article 4, paragraph 4.
- 4. The Basilicata Region reserves the right to increase the amount of the financial resources allocated to this Call.

6. Project duration

- 1. Each project should have a maximum duration of 24 months starting from the signing of the funding agreement referred to in Article 10 below, without prejudice to the possibility of completing payments and reporting within the three months following the completion of the activities.
- 2. Each project shall be deemed to have been completed when:
 - a) all project activities have been completed, including those relating to the project's administrative conclusion;
 - b) all payments have been made by the partners, i.e. charged to the specified account;
 - c) the latest progress report has been submitted to the ROP ERDF Basilicata 2014-2020 Managing Authority.

7. Eligible costs

- 1. In general, to be eligible, the costs incurred by beneficiary partners operating in Basilicata must comply with the provisions laid down in this article and shall:
 - a) be related to the activities planned within the project, required to carry out these activities and reach the project's objectives, and included in the estimated budget;
 - b) respect the principle of sound financial management, i.e. be reasonable, justified, consistent with the Community and national rules, the provisions of the ROP ERDF Basilicata 2014-2020 and this Call, as well as with the regulatory norms applicable to the individual partner;
 - c) be identifiable, verifiable, plausible and determined in accordance with the relevant accounting principles;

⁶ In line with the Financial Plan by Axis of the ROP ERDF Basilicata 2014-2020 approved by the Commission Implementing Decision C(2018)9124 and the CIPE Resolution No 10 of 28 January 2015.

- d) be borne and paid by the partner, charged to its bank account no later than the project end date, in accordance with the provisions of art. 6 above, supported by appropriate evidence that enables to identify and control them.
2. The eligible costs shall be related the following expenditure items:
- a) preparation costs;
 - b) in-house staff costs;
 - c) external expertise and services costs;
 - d) equipment expenditure;
 - e) travel and accommodation costs;
 - f) general operating expenses.
3. The expenditure shall be eligible from the date of submission of the application, with the exception of the preparation costs set forth in paragraph 5 below.
4. The expenditure incurred by the beneficiary partners within and outside the territory of Basilicata and, in any case, within the territory of the European Union pursuant to Article 70(2) of Regulation (EU) 1303/2013 shall be eligible.
5. For the purposes of eligibility, the beneficiary partners shall comply with the following provisions for the expenditure items referred to in paragraph 2 above:

a) PREPARATION COSTS

The eligible preparation costs shall be those relating to the activities carried out during the project preparation and application phase, specifically:

- i) development of the project idea;
- ii) partner search;
- iii) meetings with potential project partners;
- iv) filling in the application and drawing up the project.

The lead partner shall describe under "Preparation costs" the actual and direct connection of these costs with the activities described above.

The eligible preparation costs shall be those incurred after the date of publication of this Notice and before the date of submission of the application and falling within the category of "external expertise and services costs" and "travel and accommodation costs".

The documents required to justify such expenditure shall be the same as for the expenditure items referred to in subparagraphs (c) and (e) of paragraph 2 of this article.

The preparation costs shall be a maximum of 4% of the reimbursable eligible expenditure.

b) IN-HOUSE STAFF COSTS

In line with the provisions of Article 3 of Regulation (EU) No 481/2014, they consist of the costs for the staff employed by the partner bodies, on full-time or part-time assignments, for the project's implementation. This item shall also include the costs of staff with a parasubordinate work contract, selected by selective procedures in compliance with Article 7 of Legislative Decree no. 165/2001.

Staff costs shall refer to the costs incurred to carry out activities closely related to those of the project submitted, which the partner would not carry out if the project was not implemented.

Staff costs shall consist of gross employment costs, in line with collective agreements, taxes, and social security and insurance contributions owed by workers and employers. Staff costs related to "daily subsistence allowances" for missions and other salary items not related to the project (e.g. bonuses, incentives, etc.) shall not be eligible.

The staff costs reported on the project shall be a maximum of:

- i) 30 % of the reimbursable eligible expenditure for projects under Line "A";
- ii) 20 % of the reimbursable eligible expenditure for projects under Line "B".

General and administrative expenditure shall not be part of the "staff costs".

The documents required to justify the expenditure are the following:

- employment contract of the staff in parasubordinate work (where appropriate);
- signed curriculum of the people participating in the project;
- service order (*ordine di servizio*) indicating the role of the worker, the activities to be carried out, the period during which they must be carried out, the maximum commitment envisaged on the project (preferably expressed in man-hours), signed by the person responsible for the unit involved;
- monthly time sheet signed by the person participating in the project and by the head of the operational unit involved;
- pay slips;
- declaration by the Office in charge of paying salaries, indicating the National Employment Contract applicable to the employees and containing a statement of:
(i) the gross remuneration, as well as the social security and tax charges borne by the worker and the beneficiary and charged to the project for each individual worker; (ii) the details (number and date) of the payment orders and tax forms F24, as well as the amount.

c) EXTERNAL EXPERTISE AND SERVICES COSTS

In line with the provisions of Article 6 of Reg. (EU) No 481/2014, external expertise and services costs shall include expenditure on contracts or engagement letters,

justified by invoices from external service providers carrying out certain tasks / activities related to the project.

External expertise and services costs shall be limited to the following:

- studies or surveys (e.g. evaluations, strategies, concept notes, design plans, handbooks);
- training;
- translations;
- IT systems and website development, changes and updates for project-related aspects;
- promotion, communication, publicity or information linked to the cooperation project financed;
- financial management;
- services related to the organisation and implementation of events or meetings (including rent, catering or translation/interpretation);
- participation in events (e.g. registration fees);
- legal consultancy and notarial services, technical and financial expertise, other consultancy and accountancy services;
- intellectual property rights;
- travel and accommodation for those involved in the mission (external experts, speakers, chairpersons of meetings and service providers);
- other specific services needed for operations;
- verification activities by the Lead Partner of the expenditure made by all the beneficiary partners in order to certify the eligibility (up to a maximum of Euro 2.500,00);

The documents required to justify the expenditure are the following:

- documents proving the supplier/provider selection procedure;
- contracts entered into with service providers;
- curriculum vitae of the experts;
- invoices or other accounting documents of equivalent probative value proving the purchase of the goods or the use of the service, in the name of the beneficiary partner;
- minutes or documents of meetings with a list of participants; event schedules or equivalent;
- advertising materials and information products (press extracts, brochures, booklets, publications, DVDs, CD-ROMs);
- monthly time sheet, certified by the service recipient, showing at least: project code, name of the external resource, qualification, hours worked on the project, detailed description of the activities carried out, reference month, signature

of the expert (only if the consideration is determined on the basis of the actual time used);

- invoice/note of the external staff involved in the operation for the required period;
- interim and final reports on the activities/services carried out;
- other documents proving the activities/services carried out (studies, opinions, etc.);
- receipted payment orders;
- documents certifying the payment of any tax withholding (e.g. tax form F24).

d) EQUIPMENT EXPENDITURE

It shall include expenditure for the financing of equipment purchased, rented or leased, exclusively for the purposes of carrying out project's activities.

For the purposes of this Call, expenditure on equipment shall be limited to the following:

- IT hardware and software;
- laboratory equipment;
- tools or devices;
- other specific equipment needed for and closely related to operations.

Expenditure normally refers to brand new equipment or second-hand equipment, provided that the conditions laid down in Article 16 of D.P.R. (Decree of the President of the Italian Republic) n. 22/2018 are complied with.

For the above equipment expenditure, only depreciation charges pertaining to the project period may be paid.

If the assets are not used exclusively for the operation, the expenditure may be reimbursed only for the portion of use actually attributable to the individual operation being reported. In such cases, the Beneficiary shall demonstrate the allocation method adopted for that purpose.

Depreciation costs of depreciable assets that are already available to the beneficiary at the time of publication of this Call, calculated on the basis of their actual use within the project financed, shall also be eligible under the following conditions:

- that the assets have not already benefited from public contributions in order to be acquired;
- that the cost is calculated in compliance with the tax rules in force and on the basis of the table of depreciation rates fixed by Decree of the Italian Ministry of Finance (currently Ministerial Decree 31 December 1988 published in the ordinary supplement to the Official Journal of 2 February 1989, as amended by Ministerial Decree of 28 March 1996);

- that the depreciation cost is directly related to the period of use of the asset within the project activity;
- that there are accounting documents showing the depreciated portions.

The equipment expenditure shall be a maximum of 15% of the reimbursable eligible expenditure.

The documents required to justify the expenditure are the following:

- documents proving the supplier/provider selection procedure;
- contracts entered into with providers;
- table for calculating the share of use, specifying the time and percentage of use (where applicable);
- in the case of leasing: a leasing contract containing the description of the asset, the value, the duration of the contract, the leasing fee and the amount of taxes and miscellaneous costs;
- invoices;
- receipted payment orders.

e) TRAVEL AND ACCOMMODATION COSTS

This type of expenditure refers to the travel and accommodation costs of the staff employed by the project partner, which do not fall under "External expertise and services costs" or "Preparation costs".

Travel and accommodation costs shall be limited to the following:

- i) travel costs;
- ii) the costs of meals;
- iii) accommodation costs;
- iv) visa costs.

This type of expenditure shall also be applicable to the costs necessary to ensure the stakeholders' participation if borne by the beneficiary partners and included in the submitted project budget.

The following general principles must be observed:

- the costs must be borne by the beneficiary partner. Direct payments made by an employee of the partner body shall be supported by documents proving the authorisation, recognition and reimbursement by the employer;
- actual costs must be in line with the regulatory norms applicable to the beneficiary partner;
- travel and accommodation costs within the regional territory (area under the Basilicata 2014/2020 ERDF OP), as well as outside

the Basilicata Region but within the European Union shall be eligible, provided that they are related to the project's activities.

- Expenditure incurred in non-EU countries included in the partnership pursuant to Article 2 of this Call shall not be eligible.

The documents required to justify the expenditure are the following:

- documents proving the supplier/provider selection procedure;
- contracts/engagement letters with suppliers;
- documents authorising the mission;
- expense reports filled in by the authorised person who carried out the mission, signed by the person concerned and by the head of the partner organization's operating unit, together with invoices or receipts for the costs of accommodation or meals;
- travel documents, in the name of the authorised person, if applicable, indicating the date of travel and the cost, including boarding passes for flights;
- a statement of the journey carried out by using their own car, indicating the distance in km and the amount to be reimbursed in accordance with the partner's regulatory norms;
- travel agency and/or hotel invoices for overnight stays and train/bus/flight tickets;
- invoices relating to any car rental costs;
- indication of the exchange rate applied to expenditure incurred in a currency other than the Euro,
- receipted payment orders.

f) GENERAL OPERATING EXPENSES

The general operating expenditure shall include office and administrative expenditure and shall cover the general administrative costs of the partner organisation necessary for the implementation of the project activities. Pursuant to Article 68(1)(b) of Regulation (EU) No. 1303/2013, the administrative and office costs are budgeted and reported at a flat rate of 15% of the eligible and reimbursable in-house staff costs of each beneficiary partner.

6. The value added tax (VAT) charged to the expenditure incurred is eligible unless it is non-recoverable by the beneficiary partner pursuant to Article 69(3)(c) of Regulation (EU) No 1303/2013 and article 15 of D.P.R. (Decree of the President of the Italian Republic) no 22/2018. For reporting purposes, the beneficiary partner shall provide documents that unequivocally prove that the VAT reported is not recoverable.
7. For all matters not specifically provided in this Call concerning the eligibility of expenditure, please refer to D.P.R. (Decree of the President of the Italian Republic) no 22 of 5 February 2018. If no specific provisions are provided in this

Call or in the above-mentioned national regulation on eligibility of expenditure, partners may ask the Managing Authority questions concerning eligibility of expenditure.

8. For the purposes of eligibility, as far as all expenditure arising from contracts awarded to suppliers of goods and/or providers of services are concerned, project partners must be able to prove compliance with the European and national procurement rules and the internal rules of the project partner.
9. Contributions in kind, i.e. the provision of works, goods and services for which no payment has been made (e.g. unpaid voluntary work), shall not be eligible.
10. Expenditure incurred by partners not having a main establishment and/or registered office in Basilicata may not be reimbursed under the Basilicata 2014-2020 ERDF OP. These partners shall submit a report on the activities carried out and draw up a report on the expenses incurred by using their own budget, without the need to send any documents certifying the expenses to the Lead Partner.

8. Application and submission procedure

1. Applications may be submitted from the date of publication of this Call in the Official Journal of the Basilicata Region (BURB), as described in this article. Proposals shall be submitted no later than 12 noon on 31 March 2020.
2. This Call and its annexes can be found on the BURB and in the "Calls and Notices" section of the institutional site of the Basilicata Region at <https://www.regione.basilicata.it>.
3. Applications shall be prepared based on the format set out in Annex 1 and shall be accompanied by the project, to be drawn up based on the format set out in Annex 1a, and the letters of intent of the partners, to be drawn up based on the formats set out in Annexes 2 (2a and 2b) (attached to this Call)⁷.
4. Applications, including annexes, shall be sent via certified mail to the ERDF Operational Programme Management Authority of the Planning and Funding Department of the Basilicata Region at the following certified mail address: ufficio.autorita.gestione@cert.regione.basilicata.it
5. Applications, and the projects attached thereto, shall meet the following minimum requirements:
 - a) project title and acronym (if any);
 - b) type of cooperation action within the meaning of Article 2 of the Call: interregional or transnational;
 - c) project aims within the meaning of Article 2 of the Call (line "A" or "B");

⁷ The letter of intent of foreign partner(s) shall also be drawn up in English based on the format set out in Annex 2b to this Call.

- d) type of operations, as referred to in Article 3 of the Call: Axis, Specific Objective and area of intervention of the Basilicata 2014/2020 ERDF OP;
- e) partnership membership pursuant to Article 4 of this Call, together with the details of each partner (name, place of business, country, legal status, legal representative, role and any other information required in the application);
- f) project timeline and duration pursuant to Article 6 of this Call;
- g) project budget, broken down both by partners and expenditure items, as referred to in Article 7 of the Call;
- h) description of the activities proposed, which may be divided into phases or based on the components related to the project implementation or content (work package – WP), such as, by way example:
 - i. the project management and coordination component. It includes all the administrative, legal and financial activities required to start and implement the project, such as: definition and conclusion of the Partnership Agreement; preparation of project implementation reports; organisation of meetings and any steering committees; monitoring and control of expenditure;
 - ii. The communication component. It includes the following: publication and dissemination of leaflets, brochures and newsletters; organisation of joint launch and closing conferences; dissemination of project results;
 - iii. The component concerning partnership development and project implementation. It includes, for example, any activities concerning experience exchange, good practice identification and analysis and, in general, how to pursue and develop the purposes set out in Article 2 of this Call.

Special attention should be paid when describing how to capitalise on the project results (lessons learned, practices identified, follow-up actions).
- i) The project results' description. One or more results to be achieved must be specified in the project. They may vary depending on the purpose of the project (e.g. Line "A" and Line "B") and the programme area concerned (specific objective/area of intervention). The results must be clearly highlighted and explained. For example, they may be as follows:
 - Case study production;
 - Best practice guides ;
 - Strategic plans or guidelines (Policy recommendations papers);
 - Feasibility studies or "pilot projects" which could apply for Community calls (e.g. Horizon 2020; Creative Europe, Adrion, Cosme) or national and regional calls and notices (National Operational Programme, Regional Operational Programme, Development Pacts, etc.), also with a view to the

creation of a “pool of projects” for the 2021/2027 programming period of EU Structural and Investment Funds. These are project levels within the meaning of Article 23 of Legislative Decree no 50/2016: Technical-economic feasibility studies; final projects or project plans; projects implementing Pre-Commercial Procurements (PCP) or Public Procurement of Innovative Solutions (PPIs);

- j) An explanation of the elements relevant to the project assessment referred to in Article 9 of the Call:
 - a) project contribution to achieving the Basilicata Region S3 strategy’s results;
 - b) project contribution to the strategy of one or more ITIs implemented under the 2014-2020 ERDF OP;
 - c) project contribution to one or more pillars of the EU Strategy for the Adriatic-Ionian Region (EUSAIR);
 - d) contribution to achieving one or more result indicators related to the investment priorities (specific objectives) of the ROP ERDF Basilicata 2014-2020 to which the project is linked;
 - e) extent of the proposed cooperation with the foreign partner, including the exchange of human resources and the implementation of joint activities.
 - k) target values for some output indicators related to the project, such as: thematic seminars; workshops; study and site visits; staff exchanges; joint interregional training sessions; conferences; joint thematic or study surveys; number of participants in cited events; websites, newsletters, brochures; creation of best practice guides; pilot projects.
6. The Lead Partner is the only one authorised to submit the project proposal on behalf of the entire Partnership, via certified mail.
 7. The application form (Annex 1) and the project sheet (Annex 1a) must be signed digitally by the Lead Partner's legal representative, under penalty of their inadmissibility.
 8. The Managing Authority shall not be responsible for any delays or inconveniences caused by the certified e-mail service provider of the proposing entity or for any technical delays or inconveniences related to the connectivity of the proposing entity.
 9. Any questions relating to the contents of the Call (FAQ) and its annexes must be forwarded to the Managing Authority no later than 10 working days before the submission deadline, and they shall be sent in writing to the certified mail address ufficio.autorita.gestione@cert.regione.basilicata.it.
 10. The replies to the requests for clarification are included in a dedicated section available on www.regione.basilicata.it under “Calls and notices” and will be a supplement to this Call.

9. Assessment

1. The examination of applications shall be carried out by the Office of the Managing Authority of the ERDF Basilicata operational programmes.
2. There are two rankings, one for projects under Line "A" and one for projects under Line "B".
3. The proposals shall be selected through an assessment procedure based on the formal admissibility, eligibility, evaluation and selection criteria described in the following paragraphs of this article.
4. The formal admissibility examination of submitted operations shall be carried out in accordance with the following criteria:
 - a) eligibility of Lead and beneficiary partners under Article 4 of this Call;
 - b) compliance with the minimum requirements on partnership membership pursuant to art. 4 of this Call;
 - c) correctness of the administrative procedure for submitting the funding application pursuant to Article 8 of this Call;
 - d) completeness and regularity of the application in accordance with the arrangements and provisions of Article 8 of this Call and its annexes;
 - e) type of operation-related activities in line with the requirements of this Call.
5. The operations that will successfully pass the formal admissibility check will move to the eligibility check, which will be carried out according to the following criteria:
 - a) consistency with the ROP ERDF Basilicata 2014-2020 strategy and with the aims of this Call;
 - b) ability to contribute to the expected results of one of the specific objectives referred to in article 3 above;
 - c) consistency with the areas of intervention (expenditure categories) of the ROP ERDF Basilicata 2014-2020 as provided in article 3⁸ above ;
 - d) activity implementation timeline based on the timing set out in article 6 of this Call;
 - e) consistency between the statutory mission of both the Lead and the other partners and the role described in the application/project proposal;
 - f) presence of a project partnership which includes a Lead beneficiary partner operating in the territory eligible under the Basilicata 2014/2020 ERDF OP, and at least one partner located in a region of another EU Member State;
 - g) the partner located in a foreign country that is an EU Member State shall ensure its technical and financial contribution to the operation.
6. The operations which successfully pass the eligibility check will move to the next evaluation and selection phase on the basis of the selection/evaluation criteria approved by the Monitoring Committee of the Basilicata 2014-2020 ERDF OP, as listed below:

⁸ In the case of projects which are consistent with more than one area of intervention/expenditure category, the application shall indicate the prevalent one.

a) For projects under Line A:

Criterion	Maximum score
Contribution to achieve the results of the Basilicata S3 Strategy	30 max.
Contribution to one or more pillars of the EU Strategy for the Adriatic-Ionian Region (EUSAIR)	15 max.
Contribution to achieve one or more result indicators of the investment priorities of the ROP ERDF Basilicata 2014-2020 ⁹	5 max.
Extent of the proposed cooperation with the foreign partner, including the exchange of human resources and implementation of joint Activities	50 max.
Maximum score to be awarded	100 points max.

b) For projects under Line B:

Criterion	Maximum score
Contribution to the strategy of one or more Integrated Territorial Investments (ITI) implemented under the 2014-2020 ERDF OP	30 max.
Contribution to one or more pillars of the EU Strategy for the Adriatic-Ionian Region (EUSAIR)	15 max.
Contribution to the achievement of one or more result indicators of the investment priorities of the ROP ERDF Basilicata 2014-2020 ¹⁰	5 max.
Extent of the proposed cooperation with the foreign partner, including the exchange of human resources and the implementation of joint activities	50 max.
Maximum score to be awarded	100 points max.

7. In order to make the assessment consistent and transparent for each of the scores assigned to the criteria, the following opinions shall be expressed:

Score (in % of maximum score)	Opinion
0%	Not assessable
10% to 20%	Inadequate
30% to 50%	Insufficient

⁹ These are the result indicators of the 2014/2020 ERDF OP, as set out in Annex 5 to this Call

¹⁰ These are the result indicators of the 2014/2020 ERDF OP, set out in Annex 5 to this Call

Score (in % of maximum score)	Opinion
60% to 70%	Sufficient
80% to 90%	Good
100%	Excellent

8. The assessment and selection phase shall be deemed to have been passed if the operation has scored at least 60/100.

10. Eligibility of financing and granting of support

- After the end of the assessment and selection activities, the Managing Authority of the ROP ERDF Basilicata 2014-2020 will approve its results, to grant financial support to the projects which have passed the assessment phase and which are part of the financial resources referred to in article 5 above.
- Those who are granted financial support have 30 consecutive days, starting from the notice sent via certified mail by the Managing Authority of the Basilicata 2014-2020 ERDF OP, to forward the Partnership Agreement signed by all Partners to be drawn up in both Italian and English based on the format that will be defined by the Managing Authority in accordance with the provisions of this Call.
- The Lead Partner and the Basilicata Region shall enter into the funding agreement, which will be sent by the Managing Authority, after checking the completeness and appropriateness of the Partnership Agreement.
- By signing the funding agreement, the partners shall become eligible for financing and the support shall be granted.

11. General implementing provisions

- The implementation of projects shall ensure compliance with the following general provisions:
 - the beneficiary partners shall designate the project manager in charge of the procedure and inform the Managing Authority of the Basilicata 2014/2020 ERDF OP;
 - the beneficiary partners shall not use any savings, unless expressly authorised by the Basilicata Region, in line with the final date of expenditure eligibility;
 - any expenditure exceeding the amount of the project eligible for financing, due to any reason, shall be borne by the beneficiary partners, which shall pay it by using their own resources;

- d) a project may be revoked, in whole or in part, even if it is not completed by the expected date of completion;
 - e) the Basilicata Region has nothing to do with any relation that may exist among the beneficiary partners and third parties as a result of the projects' implementation. Each beneficiary, therefore, shall take responsibility, without making any claims to the Region, for any damage that third parties may suffer due to the execution of the activities connected with the project, and shall not make any claims against the Region;
 - f) the beneficiaries shall have a CUP number (Single Project Code), which shall be indicated in all communications to the Region;
 - g) the lead partner shall record any financial, physical and procedural information relating to the funding under the ROP ERDF Basilicata 2014-2020 on the 2014-2020 SiFesr monitoring system;
 - h) acceptance of funding by the beneficiary partners constitutes an acceptance of their inclusion in the list of operations published, in accordance with Article 115(2) of Regulation (EU) No 103/2013, on the website europa.basilicata.it/fesr and on the European Commission website;
 - i) the beneficiary partners shall comply with all the procedures laid down in the Management and Control System of the ROP ERDF Basilicata 2014-2020 and the relevant Community, national and regional guidelines;
 - j) the beneficiary partners shall ensure the proper management of the project funds, in accordance with the conditions laid down in the project's document of approval and eligibility for financing for the part under the Basilicata 2014-2020 ERDF OP, in the Management and Control System of the Basilicata 2014-2020 ERDF OP, in this Call, the annexes thereto and the general expenditure eligibility criteria;
2. The Lead Partner shall have the following roles and functions:
- a) it shall represent the beneficiary partners in their relations with the Managing Authority, the Certifying Authority and the Audit Authority of the Basilicata 2014-2020 ERDF OP, co-responsible administrations and other national and Community bodies, which are directly or indirectly involved in the programme's management and control activities;
 - b) it shall ensure the correct implementation of the project's activity plan and the compliance with the timetable by all partners;
 - c) as far as any aspects relating to the physical progress of project actions are concerned, it shall ensure the full implementation of the actions envisaged by the project;
 - d) it shall ensure that the expenditure declared by all the beneficiary partners has undergone an administrative and accounting audit and has been incurred in order to implement the project;
 - e) it shall ensure the preparation and transmission of the half-yearly progress Reports to be drawn up within two months from the end of the reference six-month period, based on the template provided by the Management Authority;

- f) it shall provide the partners and the Basilicata Region with all the information and data on the progress of the project;
 - g) it shall promptly inform all the partners and the Basilicata Region of any issues related to the project progress;
 - h) with regard to the financial and accounting aspects related to financing, it shall submit to the ROP ERDF Basilicata 2014-2020 Managing Authority the cost claims together with the supporting documents of the resources under the Basilicata 2014-2020 ERDF OP, on behalf of all the beneficiary partners through the 2014-2020 SiFesr information system;
 - for intermediate cost claims, within the same term as the six-monthly progress reports;
 - for the balance cost claim, within three months of the completion of the activities;
 - i) before sending any request for amendment referred to in article 13 of this Call, it shall submit the proposal to the partners' approval;
3. The roles and responsibilities of the individual partners are described below:
- a) all partners shall contribute to the approved project's implementation and the achievement of results;
 - b) the partners shall actively promote the involvement of stakeholders' groups, their participation in the project and their cooperation in the dissemination of results;
 - c) all partners shall immediately inform the Lead Partner of any events that may lead to a temporary or permanent suspension of, or any other change in the project, as well as any changes in the organisation's name, contact details, legal status or any other change concerning the legal person of the partner which may have an impact on the project or its eligibility under the Basilicata 2014-2020 ERDF OP;
 - d) all partners shall respect the budget and allocation of activities, as described in the approved project, and inform the Lead Partner, without delay, of any events that may lead to any change in the project;
 - e) all partners shall accept the technical and procedural coordination by the project Lead Partner, in order to guarantee the correct implementation of the project activities, thus enabling the Lead Partner to comply with the obligations undertaken towards the Basilicata Region;
 - f) all partners shall provide the Lead Partner, at least every six months, with information on the physical, procedural and financial progress of the activities based on a timing in line with the deadlines set at project level and with the methods and instruments officially prepared for that or, where appropriate, upon the requests made by the Managing Authority of the Basilicata 2014/2020 ERDF OP;

- g) moreover, all partners shall accept the checks carried out by the responsible administrations and, in Italy, by the other competent bodies (AdC, AdA, European Commission, etc.), allowing access to the administrative and accounting documents and the verification of products and results;
- h) the Lead Partners and the partners undertake to take part in the project monitoring meetings called by the Managing Authority; meetings may also be held by video conference;
- i) without prejudice to the provisions of Article 13 of this Call, if the individual partners benefiting from the resources of the Basilicata 2014-2020 OP do not make themselves available for inspection or do not submit the documents requested, the Managing Authority may withdraw the support in full and recover the sums paid to the individual beneficiary;
- j) the intellectual property and the results arising from the project shall be owned by the Lead Partner and the project partners;
- k) all partners shall make the project results available to the general public free of charge. The Managing Authority may reserve the right to use them for information and communication actions in relation to the same source. If any already existing intellectual and industrial property rights are made available to the project, they must be respected in accordance with the relevant applicable rules.
- l) All beneficiary partners shall comply with the following obligations:
 - arising from the provisions of art. 71 of Reg. (EU) No 1303/2013 on the durability of operations (non-transferability and intended use constraints);
 - arising from the management of cash flows, concerning the maintenance of the bank/postal account in the name of the individual beneficiary partner, as well as payments' traceability and verifiability;
 - they shall include a clear reference to the ROP ERDF Basilicata 2014-2020 on the technical and accounting documents. Specifically, the expenditure documents (invoices or other documents of equivalent probative value) shall include a reference to the project financed, the CUP number and the Basilicata 2014/2020 ERDF OP;
 - all documents concerning the operation financed or co-financed under the Basilicata 2014-2020 ERDF OP, including the original expenditure documents, shall be kept and made available for a period of five years following the payment of the final balance, in order to allow, at any time, the audit activities of the European Commission, the European Court of Auditors, the Basilicata Region or other competent bodies.
 - obligations concerning information and publicity as referred to in art. 13 and Annex III to Reg. (EU) No 808/2014;
 - they shall return the amounts received and not used or not eligible for financing.

- m) All partners shall include in the Partnership Agreement provisions to solve any cases of non-compliance with obligations and disputes.
4. The roles and responsibilities of partners operating in other Italian regions, as well as other EU or non-EU countries are described below:
- a) the partners shall designate the project manager and communicate its name to the Lead Partner;
 - b) the partner located in a foreign country that is a EU Member State shall ensure its technical and financial contribution to the operation. Therefore, the participation of the foreign partner must be properly justified in the project sheet (Annex 1a) in terms of provision of new tools/knowledge. The financial contribution may cover only the costs of the personnel involved in the project;
 - c) the Basilicata Region has nothing to do with any relation that may exist among the beneficiary partners and third parties as a result of the projects' implementation. Each individual partner is therefore responsible for any damage that third parties may suffer due to the execution of the activities connected with the project and, therefore, shall not make any claim against the Lead Partner and/or the Basilicata Region;
 - d) the partners shall provide the Lead Partner with all the information and data on the progress of the project;
 - e) the partners shall contribute to the approved project's implementation and the achievement of the results;
 - f) in the event that a partner cannot contribute to the successful achievement of one or more of the objectives set out in the project, it shall implement the corrective measures required by the Lead Partner, in agreement with the Managing Authority of the Basilicata 2014-2020 ERDF OP;
 - g) the partners shall accept the technical and procedural coordination by the project Lead Partner, in order to guarantee the correct implementation of the project activities, thus enabling the Lead Partner to comply with the obligations undertaken towards the Basilicata Region;
 - h) the partners shall provide the Lead Partner, at least every six months, with information on the physical, procedural and financial progress of the activities based on a timing in line with the deadlines set in the project, and with the methods and tools officially prepared for that purpose or, where appropriate, upon the requests made to the Lead Partner by the Managing Authority of the 2014/2020 ERDF OP;
 - i) they undertake to take part in the project monitoring meetings called by the Managing Authority, which will be generally held by video conference;
 - j) the partners operating outside the Basilicata Region and located in a Member State undertake to comply with the eligibility rules applicable to the co-financing entity and, in the case of ESI funds, with the provisions of Article 70 of Reg. (EU) No 1303/2013.

12. Methods of disbursement of financial support

1. Following the signing of the funding agreement, the Lead Partner may request the payment of the advance, after sending to the Managing Authority of the Basilicata 2014/2020 ERDF OP, via the 2014-2020 SiFesr information system, the following documents:
 - a) document issued by the CIPE application (www.cipecomitato.it) showing the CUP number;
 - b) a statement by all beneficiary partners confirming the existence of a separate accounting system or adequate accounting codes for all transactions relating to the funded actions;
 - c) appointment of the RUP (Sole Project Manager) and, in the case of foreign partners, the communication of project manager names.
2. The request for advance may represent 20% of the total support granted to the project.
3. Interim payments shall be governed as follows:
 - a) payment applications shall be submitted by the Lead Partner based on the expenditure reported by all beneficiary partners in the progress reports and after sending to the Managing Authority of the Basilicata 2014-2020 ERDF OP, through the 2014-2020 SiFesr system, the documents described and broken down by items of expenditure in Article 7 of this Call;
 - b) at the request of the Lead Partner and, if the Lead Partner or the other beneficiary partners do not have the necessary resources to pay all or part of the expenditure reported in the progress reports, the Managing Authority of the ROP ERDF Basilicata 2014-2020 may grant the financial contribution, even if there are no documents certifying the payments. In such cases, the beneficiaries shall make the relevant payments and record them via the 2014-2020 SiFesr system within a maximum of 30 days after the support is credited by the Basilicata Region;
 - c) the Region shall ensure that a beneficiary partner receives the total amount of the public contribution in the shortest possible time frame and in full and, in any event, no later than 90 days from the date of submission of the application for reimbursement (Art. 132 Reg. EU No 1303/2013); no amount shall be deducted or withheld and no specific charge shall be levied that would reduce the total amount of the public contribution.
4. The application for payment of the final balance shall be submitted by the Lead Partner in accordance with the following:
 - a) at the end of the activities, the Lead Partner shall submit the final balance payment application (application for balance), based on the expenditure progressively reported by all beneficiary partners in the final progress report;

- b) the amount of the balance shall be calculated as the sum of the contributions payable to each partner according to the following method: contribution granted net of any ineligible expenditure and the contribution already paid. If the result is negative, any sums unduly paid shall be recovered;
 - c) the balance may only be disbursed if the project has achieved the expected products and results and if the validated eligible expenditure under the ROP ERDF Basilicata 2014-2020 is at least 70 % of the cost of the project.
5. The Basilicata Region shall disburse the requested contribution to the Lead Partner or, at the request of the Lead Partner, directly to the beneficiary partners.

13. Project changes

GENERAL PROVISIONS

1. The partners shall pay special attention to maintaining the requirements that have determined the project's approval based on the selection criteria, thus avoiding any changes to the objectives and parameters that have enabled the Basilicata Region to approve the proposal.
2. Any changes may concern:
 - a) the partnership;
 - b) the main activities;
 - c) the budget;
 - d) the duration.
3. As far as the main changes mentioned above are concerned, the Lead Partner shall forward a preliminary "change request" to the Managing Authority of the Basilicata 2014-2020 ERDF OP. Changes may be requested during the project implementation only.
4. The change request shall:
 - a) provide the updated version of the project;
 - b) explain the changes and provide a clear justification for them.
5. The effects of the changes will only enter into force following a formal communication to the Lead Partner by the Managing Authority of the ROP ERDF Basilicata 2014-2020.

CHANGES IN THE PARTNERSHIP

6. The partnership is considered a key feature of a project and, as such, shall be officially approved as part of the project's approval. Therefore, any changes to the partnership should be avoided, where possible, and all alternative solutions to solve any problems should be assessed before requesting a partnership change. In any event, changes in the partnership may only be approved if duly justified.
7. The change request shall be made in the following two cases:

- a) withdrawal of a partner(s);
 - b) integration of partners.
8. If the withdrawal of a partner from a partnership may not be avoided, the ideal solution is to find a suitable substitute for the withdrawing partner, preferably from the same region/country. Only if this attempt is unsuccessful, the Lead Partner may propose a replacement with a partner from another region/country.
 9. The other alternative is simply a partner withdrawal. In order to minimise the impact on the project, in this case, an existing partner should assume the role of the withdrawing partner, in full or in part, and perform the activities of the withdrawing partner. This means that the budget may be partly reallocated accordingly. In no case may the withdrawal of the foreign partner of an EU Member State be authorised if the withdrawal nullifies the project's eligibility requirement.
 10. A simple change of name of a partner that has no impact on its legal status is not treated as a case of a partnership change and, therefore, does not require a formal request for a change. However, the change of name of a partner must be officially communicated to the Managing Authority of the Basilicata 2014-2020 ERDF OP.
 11. Changes in the partnership must not jeopardize the achievement of the project objectives and may only be approved if they do not alter the conditions which led to the granting of the support under the Basilicata 2014-2020 ERDF OP.

CHANGES IN ACTIVITIES

12. The work plan included the approved project represents the project roadmap. Any changes to the work plan may only be eligible during implementation if they are due to the two possible scenarios indicated below:
 - a) if the changes are minor (for example, a change in the length of a conference or study visit) and do not have any impact on the main project objectives and only a minimal impact on the budget, they can be reported and justified in the progress report;
 - b) if the changes are significant and have an impact on the main objectives of the project, they require the formal approval by the Managing Authority of the ROP ERDF Basilicata 2014-2020 following a request from the Lead Partner.

BUDGET CHANGES

13. Even if the budget is a key element of the project, changes may become necessary during implementation.

Flexibility of 10% of the budget allocated to each item of expenditure and to each partner is allowed. In this case, the prior formal consent by the Managing Authority of the ROP ERDF Basilicata 2014-2020 is not required, but the change must be reported and justified in the half-yearly progress report.

Changes exceeding the above 10% limit require the prior consent by the Managing Authority of the ROP ERDF Basilicata 2014-2020 following a justified request from the Lead Partner.

14. In the event of changes, the thresholds or concentration constraints concerning certain expenditure items, as well as the eligibility conditions laid down or quoted in this Call shall remain valid.
15. In any case, budget changes are only possible provided that the total amount of the support from the ROP ERDF Basilicata 2014-2020 allocated to the project is not exceeded.

CHANGE IN THE DURATION OF A PROJECT

16. Any changes in the project duration, established by the Basilicata Region in line with the eligibility rules for expenditure applicable to the projects, are eligible in exceptional and properly justified cases.

14. Project Manager in charge of the procedure

1. Pursuant to Article 5 of Law No. 241/90, the project manager in charge of the procedure relating to this Call is the acting Director of the Managing Authority for Basilicata ERDF operational programmes.
2. Any request for information on the contents of this call may be addressed to the person in charge of the procedure at the certified mail address: ufficio.autorita.gestione@cert.regione.basilicata.it.
3. The organisational unit responsible for implementing this Public Call is the Office of the Managing Authority for Basilicata ERDF operational programmes as Responsible for Cooperation Actions.

15. Final Provisions

1. Should any deadline set out in this Call fall on a Saturday or a public holiday, such deadline shall be deemed to be postponed to the next business day.
2. The projects which are selected under this Call shall be implemented, managed, monitored, reported and concluded by the beneficiaries in accordance with the terms and time limits laid down in the Basilicata 2014/2020 ERDF Operational Programme, in this Call, in EU Regulations no 1303/2013 and 1301/2013, in the "Description of existing functions and procedures of the Managing Authority and Certifying Authority of the Basilicata 2014-2020 ERDF OP", as approved by the management resolution issued by the Managing Authority No. 12AF.2018/D.03818 of 22/12/2018 and subsequent amendments and integrations.
3. The data and information provided when implementing this Call shall be processed in accordance with "Regulation (EU) 2016/679 (General Data Protection Regulation)" and Legislative Decree 196/2003, in compliance with the terms and conditions set out in the application.

4. Any dispute regarding this Call shall be referred to the Court of Potenza.
5. All matters not specifically provided for in this Call shall be governed by Community, national and regional laws, including those mentioned in the programme and regulatory references of this Call.

16. Annexes

The following annexes are attached to this Call:

Ann. 1 - Application form

Ann. 1a - Project Sheet

Ann. 2 - Letters of intent:

- Ann. 2a - Letter of intent from partners operating in the Basilicata Region
- Ann. 2b - Letter of intent from partners operating in other Italian regions or foreign countries

Ann. 3 - List of policy areas (categories of expenditure) that can be activated

Ann. 4 - List of result indicators linked to the strategic objectives of the Call

ROP ERDF Basilicata 2014-2020

Public Call for selection of interregional and transnational cooperation projects (pursuant to art. 96.3.d of Reg. EU No 1303/2013)

Annex 1 Application Form

**To *Basilicata Region
Planning and Funding Department
Office of the Management Authority of
Basilicata ERDF Operational Programmes***

***Via Vincenzo Verrastro n. 4
85100 Potenza***

Subject: Application for the Public Call for selection of interregional and transnational cooperation projects pursuant to Art. 96.3.d of Reg. (EU)No 1303/2013)

I, the undersigned, _____ born
in _____ on _____ and residing
in _____ Province _____ Address _____ legal
representative of _____ having its
registered office/main establishment in _____ Province _____
Address _____ VAT Number
_____ Tax Code _____
telephone _____ mobile _____
email address _____ certified mail address _____

in my capacity as proposing entity and project Lead Partner, aware that, pursuant to and by effect
of art. 76 of D.P.R. (Decree of the Italian President of the Republic) No 445 of 28 December 2000,
any false statements will lead to render me liable to prosecution,

APPLY

for the support granted under the Public Call for selection of interregional and transnational
cooperation projects (pursuant to Art. 96.3.d of Reg. (EU) No 1303/2013) approved by Executive
Decree issued by the Basilicata regional government No _____ of _____
for the following project:

Project Title _____

Acronym _____

Total project amount _____

Amount requested under the ROP ERDF Basilicata 2014-2020 _____

As described in the project, drawn up based on the format set out in Annex "1a" of the Public Call attached to this application.

For that purpose

DECLARE

- to be aware of, and accept, the content of the Public Call I apply for, in its entirety;
- to comply with the eligibility requirements needed to be the project lead partner;
- to be duly authorised to sign this application;
- that all the information contained in this application and the documents attached thereto is true and correct;
- that the project applies for the following Project line (art. 2, paragraph 4 of the Call):

☐ **LINE A**

Projects aimed at establishing new networks or strengthening/enhancing existing networks with European partners for the exchange of good practice or knowledge, including by giving value to, and capitalising on, the experience gained through the participation in EU funded projects (including ETC programmes) and/or favouring the participation of the regional research system in European networks on research, technological innovation and economy digitisation

☐ **LINE B.**

Projects aimed at improving local development and/or core service strategies and planning and/or developing new governance tools in regional and/or local administrative management

- that, within the meaning of art. 2 paragraph 2 of the Call, the project is:
 - ☐ interregional
 - ☐ transnational
- to accept the participation of the Basilicata Region (*if any*) in the project pursuant to art. 4, paragraph 4 of the Call:
 - ☐ YES
 - ☐ NO
- to accept that the provisions of the Public Call may be supplemented unilaterally by the Managing Authority to align them with any new provisions implementing EU Regulations;
- that, if the project is approved and granted the funding, I will send the Partnership Agreement to the Managing Authority, within the time limits specified in the Public Call (art. 10 paragraph 2);
- that, if the project is approved and granted the funding, I will enter into with the Programme Management Authority, on behalf of the Project Partnership, the funding agreement governing the legal relations between the Programme Management Authority and the Project Partnership;
- that the activities provided for in the project do not duplicate any activities already carried out, and have not received, do not receive and will not receive other public funding;
- the partnership membership is as follows:

Specify the information required below for each participant

<i>Partner No...</i>	
Name	
Legal status	
Main area of activity	
Address of registered office and/or main establishment	<i>Street, municipality, province, region of registered office.</i>
Region	
Country	

- that, if the operation is granted the funding, the contribution under the Basilicata 2014/2020 ERDF OP to the beneficiary partners, pursuant to article 12 of the Call, must be:
 - a) granted to the Lead Partner, which undertakes to transfer it to the beneficiary partners;
 - b) paid directly to the beneficiary partners.

Please find attached an identification document

Place and date.....

Digitally signed

INFORMATION on the processing of personal data pursuant to art. 13 Regulation (EU) No 2016/679.
(Omissis)
[...]

ROP ERDF Basilicata 2014-2020

Public Call for selection of interregional and transnational cooperation projects

(pursuant to Art. 96.3.d of EU Reg No. 1303/2013)

Annex 1a Project Sheet

A. Project details

Project Title			
Acronym			
Name of the lead entity			
Duration of the project in months (see art. 6 of the Call)			
Programme axis (see art. 3 of the Call)			
Specific objective (see art. 3 of the Call)			
Area of intervention (see art. 3 and ann. 3 of the Call)			
Total project budget			
<i>of which under the Basilicata 2014/2020 ERDF OP</i>			
<i>Cooperation (article 2 paragraph 2 of the Call)</i>		☐ Interregional ☐ Transnational	

B. Project partner details

Partner	Number	
Partners operating in the Basilicata Region		
Partners operating in other Italian regions		
Foreign partners operating in an EU Member State		
Foreign partners operating in non-EU countries (specify which country)		Please specify the country(s) from the following: Albania, Bosnia and Herzegovina, Montenegro, Serbia.

LEAD PARTNER	
Name	
Address	
Region	

Country	
VAT Number/Tax Code	
Certified Mail	
Website	
Main area of activity	
Legal representative	Name
	Last name
	Address
	Phone/Fax
	E-Mail
Contact Person	Name
	Last name
	Address
	Tel.
	E-Mail
Short description of experiences and institutional profile	<i>Brief indication of institutional role/mission</i> <i>Brief indication of the experience in cooperation projects over the 5 years preceding the submission of the application</i>

PARTNER NO.....	
Name	
Address	
Region	
Country	
VAT Number/Tax Code	
Certified Mail	
Website	
Main area of activity	
Legal representative	Name
	Last name
	Address
	Phone/Fax
	E-Mail
Contact Person	Name
	Last name
	Address
	Job Title
	Tel.
	E-Mail
Short description of experiences and institutional profile	<i>Brief indication of institutional role/mission</i> <i>Brief indication of the experience in cooperation projects over the 5 years preceding the submission of the application</i>

(Repeat for each partner)

C. Summary of the project proposal

Provide a brief summary of the project proposal.

D. Project description

D.1 Frame of reference

Briefly describe the frame of reference, the origin of the project idea, the reasons and/or the aspects and/or the issues and needs to be addressed by the project.

D.2 Description of proposed activities

The description of the activities proposed under article 5 (h) of the Call may refer to phases linked to project implementation or its content (work package -WP), specifying the partner(s) that will be involved in its development (see.

Name	<i>Phase or WP No 1 :</i>
Start Date	
End Date	
Description (750 keystrokes max.)	<i>Describe the activities to be carried out and the tools required</i>
Responsible Partner	
Participating partners	
Any stakeholders	
Products	<i>Indicate, for this activity, the products generated by the activity</i>

Repeat the table for each phase or WP, numbering them if necessary.

D.3 Aims

Please list the aims of the project in line with art.2 of the Call

D.4 Implementation Timeline

	Timing of Activities (quarters)							
	1	2	3	4	5	6	7	8
Phase or WP 1: <i>description</i>								
Phase or WP 2: <i>description</i>								
Phase or WP3: <i>description</i>								
Phase or WP4: <i>description</i>								

Enter additional lines if necessary

E. Significant elements for the purpose of assessing the Project

E.1 Project contribution to achieving the results of the Basilicata Region S3 Strategy (Only for projects applying under Line A)

Describe whether and how the project contributes to achieving the results of S3 specialisation areas: 1. Automotive; 2. Cultural and creative industry; 3) Energy; 4. Bioeconomy; 5. Aerospace; as well as the cross-cutting area of specialisation: Digital Agenda/ICT.

E.2 Project contribution to the ITI strategy of the 2014-2020 ERDF OP

(Only for projects applying under Line B)

Describe whether and how the project contributes to the Strategy of one or more Integrated Territorial Investments (ITI) implemented under the 2014-2020 ERDF OP. ITI's are: Urban Development of the City of Potenza; ITI Urban Development of the City of Matera; Inland areas (Montagna Materana, Mercure Alto Sinni Val Sarmento, Alto Bradano and Marmo Platano).

E.3 Project contribution to one or more EUSAIR pillars

Describe whether and how the project contributes to at least one of the EUSAIR strategy pillars (1) Blue growth; (2) Connecting the region; (3) Environmental quality; and (4) Sustainable tourism. In addition, it provides for two cross-cutting issues: (I) Research, innovation and SMEs; (ii) Capacity building, including communication.

E.4 Project contribution to achieving one or more result indicators related to the Specific Objective

Indicate, where relevant, which result indicator(s) of the Basilicata 2014/2020 ERDF OP apply to the cooperation project submitted, among those shown under the Axis and Specific Objective which the project refers to, as described in Annex 4 to the Public Call.

Axis	Specific Objective	Result indicator

E.5 Level/Extent of the cooperation proposed

Why is cooperation necessary to achieve the aims and results envisaged in the project?

Explain why the aims of the project could not be achieved by acting only at regional/local level and describe the benefits that the project offers to partners through a cooperative approach

How does the project address the common challenges identified and what are the innovative elements in its implementation?

Describe how the project aims to address the common challenges identified, the methods to be applied during the project and the extent to which the approach adopted goes beyond common practices in the programme field/area/participating countries.

How partners work together

- *Describe the working method chosen and how the project uses partner experience and knowledge.*
- *Describe the activities carried out jointly with the foreign partner(s)*
- *Indicate the number of human resources (and their role) involved in the exchange with the foreign partner*

F. Project budget

F.1 Project Budget– Breakdown by partner

Beneficiary partners	Percentage of Project Total	Contribution of Basilicata 2014/ 2020 ERDF OP (€) (a)	Other public funding (€) (b)	Please specify the source of "Other public funding"	Total budget (€) = a+b
Partners operating in the Basilicata Region					
Lead Partner - LP					
P1 -					

P2 -					
....					
<i>Subtotal 1</i>					
Partners operating in other Italian /EU or non-EU regions					
P.....					
P.....					
P.....					
<i>Subtotal 2</i>					
General Total					

F.2 Project Budget - Summary by partners and by category of expenditure (in Euro)

Partner	A Preparation costs	B In-house staff costs	C External expertise and services costs	D Equipment expenditure	E Travel and accommodation costs	F General operating expenses	Total budget	Total eligible budget
Partners operating in the Basilicata Region								
Lead Partner – LP								
P1								
P2								
....								
Total								
% of total budget**								
Partners operating in other Italian/EU or non-EU regions								
Total								

**** Check the thresholds or concentration constraints set forth in article 7 of the Public Call**

F.3 - Description of expenditure

Provide a brief description of the expenditure intended to be incurred, taking into account the provisions of article 7 of the Public Call, with special regard to the connection of expenditure with project activities

EXPENDITURE ITEMS	DESCRIPTION
A-Preparation costs	
B-In-house staff costs	
C-External expertise and services costs	
D-Equipment expenditure	
E-Travel and accommodation costs	
F-General operating expenses	

G. Achievements and results

G.1 - Output indicators

List the output indicators of the project and indicate the relevant target to be pursued.

Output indicators	Unit of measurement	Target	Number of people involved (where relevant)
Thematic seminars	Number		
<i>workshops</i>	Number		
study and site visits	Number		
staff exchanges	Number		
joint interregional training sessions	Number		
conferences	Number		
joint thematic or study surveys	Number		
websites	Number		
newsletters, brochures;	Number		
Other (please specify):			

G.2 - Results

Describe the results to be achieved by the project and indicate the relevant target to be pursued.

Indicate and describe one or more results you want to achieve depending on the purpose of the project and the programme area concerned (specific objective/area of intervention), pursuant to article 8 (i) of the Call. The results must be clearly identified and explained. A list is reported below by way of example.

Result	Short title	Description of the result
Case study production;		
Guides on one or more best practices;		
Strategic plans or guidelines (Policy recommendations papers);		
Feasibility studies or "pilot projects";		
Other (please specify):		

Ann. 2a.

(BENFICIARY PARTNER'S HEADED NOTEPAPER)

Subject: Letter of intent to participate in the Project.....

I, the undersigned (NAME SURNAME), as the Legal Representative of (Company's Name), in the name and on behalf of the represented Person, declare to be willing to participate as a partner in the project (PROJECT TITLE) submitted, as Lead Partner, by under the "Public Call for selection of interregional and transnational cooperation projects" published in the Official Journal of the Basilicata Region No..... of..... The project includes the following Partners:

- LEAD PARTNER - (ORGANISATION NAME), represented by the Legal Representative (NAME SURNAME) - Project Manager (NAME SURNAME)
- PARTNER - (ORGANISATION NAME), represented by the Legal Representative NAME SURNAME) -
Project Contact (NAME SURNAME)
- (SAME FOR ALL PROJECT PARTNERS)

To this end, I declare:

- to be aware of, and agree upon, all contents of the above Call and, specifically, the obligations imposed on the beneficiary partners, as specified in article 11;
- that I have seen the Project submitted and its contents and I have agreed on its objectives, aims and implementation;
- that the represented Person is ready to carry out all the activities required by the project;
- that I undertake to define and enter into a partnership agreement with the Project Partners if the project is financed by the Basilicata Region.

I, the undersigned, also acknowledge that:

- the project has a duration of (NUMBER OF MONTHS) and the total cost is Euro (AMOUNT);
- The estimated costs to be borne by the represented person, in accordance with the provisions of the Call, shall be Euro (AMOUNT).

Place and date

In witness whereof

Signature.....
(name of the Legal Representative)

(Partner located in another member state or eligible foreign countries)

Ms./Mr.

Title First Name Second Name:

Institution/organization/Body:

Street:

Zip Code:

City:

Web site:

Contact person (*name, email, telephone number*):

SUBJECT: Letter of Intent for the participation in the Project

The undersigned (*FULL NAME*), as legal representative of(*DENOMINATION of the represented body*), intends, in the name of and on behalf of the represented body, to participate as a partner in the Project ("*PROJECT TITLE*") submitted by the Lead Partner, on call for proposals "for the selection of Interregional and Transnational Cooperation projects" (ROP ERDF Basilicata 2014/2020) -published on the Official Bulletin of Basilicata region n.ro 43 of 16th November 2019 and including the participation of the following other Partners:

- LEAD PARTNER: (*denomination*), represented by the Legal Representative (*FULL NAME*) - Project Manager (*FULL NAME*)
- (*to complete for each partner*) PARTNER: (*NAME of the body*), represented by the Legal Representative (*FULL NAME*) - Project Contact person (*FULL NAME*)

To that end, the undersigned hereby declares:

- to be aware of commitments and responsibilities of foreign partners, such as described in Article 11 paragraph 4 of the call for proposals;
- to have read the Project to be submitted and its contents by sharing its objectives, purposes and way of implementation;
- the availability to carry out all the activities falling within the responsibility of the represented body in accordance with the project;
- to be a Public Administration; *or* to be a public body in accordance with Article 2, paragraph 1.4, of EU Directive 24/2014;
- to commit to sign a Partnership Agreement with other project partners if the project is financed by Basilicata Region.

LETTERHEAD OF THE FOREIGN PARTNER

The undersigned, furthermore, is aware of the fact that:

- the project has a duration of months (NUMBER OF MONTHS) and its total amount is euros;
- the costs to be borne by the represented body are euros.

Place and data

Signature.....

(Full name of the Legal Representative)

(Official stamp of the Partner)



ANNEX I

Nomenclature for the categories of intervention of the Funds ⁽¹⁾ under the Investment for growth and jobs goal and of the Youth Employment Initiative

TABLE 1: CODES FOR THE INTERVENTION FIELD DIMENSION

1. INTERVENTION FIELD		Coefficient for the calculation of support to climate change objectives
I. Productive investment:		
001	Generic productive investment in small and medium – sized enterprises ('SMEs')	0 %
002	Research and innovation processes in large enterprises	0 %
003	Productive investment in large enterprises linked to the low-carbon economy	40 %
004	Productive investment linked to the cooperation between large enterprises and SMEs for developing information and communication technology ('ICT') products and services, e-commerce and enhancing demand for ICT	0 %
II. Infrastructure providing basic services and related investment:		
<i>Energy infrastructure</i>		
005	Electricity (storage and transmission)	0 %
006	Electricity (TEN-E storage and transmission)	0 %
007	Natural gas	0 %
008	Natural gas (TEN-E)	0 %
009	Renewable energy: wind	100 %
010	Renewable energy: solar	100 %
011	Renewable energy: biomass	100 %
012	Other renewable energy (including hydroelectric, geothermal and marine energy) and renewable energy integration (including storage, power to gas and renewable hydrogen infrastructure)	100 %
013	Energy efficiency renovation of public infrastructure, demonstration projects and supporting measures	100 %
014	Energy efficiency renovation of existing housing stock, demonstration projects and supporting measures	100 %
015	Intelligent Energy Distribution Systems at medium and low voltage levels (including smart grids and ICT systems)	100 %
016	High efficiency co-generation and district heating	100 %
<i>Environmental infrastructure</i>		
017	Household waste management (including minimisation, sorting, recycling measures)	0 %

⁽¹⁾ European Regional Development Fund, Cohesion Fund and European Social Fund.

▼B

018	Household waste management (including mechanical biological treatment, thermal treatment, incineration and landfill measures)	0 %
019	Commercial, industrial or hazardous waste management	0 %
020	Provision of water for human consumption (extraction, treatment, storage and distribution infrastructure)	0 %
021	Water management and drinking water conservation (including river basin management, water supply, specific climate change adaptation measures, district and consumer metering, charging systems and leak reduction)	40 %
022	Waste water treatment	0 %
023	Environmental measures aimed at reducing and / or avoiding greenhouse gas emissions (including treatment and storage of methane gas and composting)	100 %
<i>Transport infrastructure</i>		
024	Railways (TEN-T Core)	40 %
025	Railways (TEN-T comprehensive)	40 %
026	Other Railways	40 %
027	Mobile rail assets	40 %
028	TEN-T motorways and roads — core network (new build)	0 %
029	TEN-T motorways and roads — comprehensive network (new build)	0 %
030	Secondary road links to TEN-T road network and nodes (new build)	0 %
031	Other national and regional roads (new build)	0 %
032	Local access roads (new build)	0 %
033	TEN-T reconstructed or improved road	0 %
034	Other reconstructed or improved road (motorway, national, regional or local)	0 %
035	Multimodal transport (TEN-T)	40 %
036	Multimodal transport	40 %
037	Airports (TEN-T) ⁽¹⁾	0 %
038	Other airports ⁽¹⁾	0 %
039	Seaports (TEN-T)	40 %
040	Other seaports	40 %
041	Inland waterways and ports (TEN-T)	40 %
042	Inland waterways and ports (regional and local)	40 %
<i>Sustainable transport</i>		
043	Clean urban transport infrastructure and promotion (including equipment and rolling stock)	40 %
044	Intelligent transport systems (including the introduction of demand management, tolling systems, IT monitoring, control and information systems)	40 %
<i>Information and communication technology (ICT) infrastructure</i>		
045	ICT: Backbone/backhaul network	0 %

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046	ICT: High-speed broadband network (access/local loop; $\geq$ 30 Mbps)	0 %
047	ICT: Very high-speed broadband network (access/local loop; $\geq$ 100 Mbps)	0 %
048	ICT: Other types of ICT infrastructure/large-scale computer resources/equipment (including e-infrastructure, data centres and sensors; also where embedded in other infrastructure such as research facilities, environmental and social infrastructure)	0 %
III. Social, health and education infrastructure and related investment:		
049	Education infrastructure for tertiary education	0 %
050	Education infrastructure for vocational education and training and adult learning	0 %
051	Education infrastructure for school education (primary and general secondary education)	0 %
052	Infrastructure for early childhood education and care	0 %
053	Health infrastructure	0 %
054	Housing infrastructure	0 %
055	Other social infrastructure contributing to regional and local development	0 %
IV. Development of endogenous potential:		
<i>Research and development and innovation</i>		
056	Investment in infrastructure, capacities and equipment in SMEs directly linked to research and innovation activities	0 %
057	Investment in infrastructure, capacities and equipment in large companies directly linked to research and innovation activities	0 %
058	Research and innovation infrastructure (public)	0 %
059	Research and innovation infrastructure (private, including science parks)	0 %
060	Research and innovation activities in public research centres and centres of competence including networking	0 %
061	Research and innovation activities in private research centres including networking	0 %
062	Technology transfer and university-enterprise cooperation primarily benefiting SMEs	0 %
063	Cluster support and business networks primarily benefiting SMEs	0 %
064	Research and innovation processes in SMEs (including voucher schemes, process, design, service and social innovation)	0 %
065	Research and innovation infrastructure, processes, technology transfer and cooperation in enterprises focusing on the low carbon economy and on resilience to climate change	100 %
<i>Business development</i>		
066	Advanced support services for SMEs and groups of SMEs (including management, marketing and design services)	0 %
067	SME business development, support to entrepreneurship and incubation (including support to spin offs and spin outs)	0 %
068	Energy efficiency and demonstration projects in SMEs and supporting measures	100 %

▼B

069	Support to environmentally-friendly production processes and resource efficiency in SMEs	40 %
070	Promotion of energy efficiency in large enterprises	100 %
071	Development and promotion of enterprises specialised in providing services contributing to the low carbon economy and to resilience to climate change (including support to such services)	100 %
072	Business infrastructure for SMEs (including industrial parks and sites)	0 %
073	Support to social enterprises (SMEs)	0 %
074	Development and promotion of commercial tourism assets in SMEs	0 %
075	Development and promotion of commercial tourism services in or for SMEs	0 %
076	Development and promotion of cultural and creative assets in SMEs	0 %
077	Development and promotion of cultural and creative services in or for SMEs	0 %
<i>Information and communication technology (ICT) — demand stimulation, applications and services</i>		
078	e-Government services and applications (including e-Procurement, ICT measures supporting the reform of public administration, cyber-security, trust and privacy measures, e-Justice and e-Democracy)	0 %
079	Access to public sector information (including open data e-Culture, digital libraries, e-Content and e-Tourism)	0 %
080	e-Inclusion, e-Accessibility, e-Learning and e-Education services and applications, digital literacy	0 %
081	ICT solutions addressing the healthy active ageing challenge and e-Health services and applications (including e-Care and ambient assisted living)	0 %
082	ICT Services and applications for SMEs (including e-Commerce, e-Business and networked business processes), living labs, web entrepreneurs and ICT start-ups)	0 %
<i>Environment</i>		
083	Air quality measures	40 %
084	Integrated pollution prevention and control (IPPC)	40 %
085	Protection and enhancement of biodiversity, nature protection and green infrastructure	40 %
086	Protection, restoration and sustainable use of Natura 2000 sites	40 %
087	Adaptation to climate change measures and prevention and management of climate related risks e.g. erosion, fires, flooding, storms and drought, including awareness raising, civil protection and disaster management systems and infrastructures	100 %
088	Risk prevention and management of non-climate related natural risks (i.e. earthquakes) and risks linked to human activities (e.g. technological accidents), including awareness raising, civil protection and disaster management systems and infrastructures	0 %
089	Rehabilitation of industrial sites and contaminated land	0 %

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090	Cycle tracks and footpaths	100 %
091	Development and promotion of the tourism potential of natural areas	0 %
092	Protection, development and promotion of public tourism assets	0 %
093	Development and promotion of public tourism services	0 %
094	Protection, development and promotion of public cultural and heritage assets	0 %
095	Development and promotion of public cultural and heritage services	0 %
<i>Other</i>		
096	Institutional capacity of public administrations and public services related to implementation of the ERDF or actions supporting ESF institutional capacity initiatives	0 %
097	Community-led local development initiatives in urban and rural areas	0 %
098	Outermost regions: compensation of any additional costs due to accessibility deficit and territorial fragmentation	0 %
099	Outermost regions: specific action to compensate additional costs due to size market factors	0 %
100	Outermost regions: support to compensate additional costs due to climate conditions and relief difficulties	40 %
101	Cross-financing under the ERDF (support to ESF-type actions necessary for the satisfactory implementation of the ERDF part of the operation and directly linked to it)	0 %
V. Promoting sustainable and quality employment and supporting labour mobility:		
102	Access to employment for job-seekers and inactive people, including the long-term unemployed and people far from the labour market, also through local employment initiatives and support for labour mobility	0 %
103	Sustainable integration into the labour market of young people, in particular those not in employment, education or training, including young people at risk of social exclusion and young people from marginalised communities, including through the implementation of the Youth Guarantee	0 %
104	Self-employment, entrepreneurship and business creation including innovative micro, small and medium sized enterprises	0 %
105	Equality between men and women in all areas, including in access to employment, career progression, reconciliation of work and private life and promotion of equal pay for equal work	0 %
106	Adaptation of workers, enterprises and entrepreneurs to change	0 %
107	Active and healthy ageing	0 %
108	Modernisation of labour market institutions, such as public and private employment services, and improving the matching of labour market needs, including through actions that enhance transnational labour mobility as well as through mobility schemes and better cooperation between institutions and relevant stakeholders	0 %
VI. Promoting social inclusion, combating poverty and any discrimination:		
109	Active inclusion, including with a view to promoting equal opportunities and active participation, and improving employability	0 %

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110	Socio-economic integration of marginalised communities such as the Roma	0 %
111	Combating all forms of discrimination and promoting equal opportunities	0 %
112	Enhancing access to affordable, sustainable and high-quality services, including health care and social services of general interest	0 %
113	Promoting social entrepreneurship and vocational integration in social enterprises and the social and solidarity economy in order to facilitate access to employment	0 %
114	Community-led local development strategies	0 %

VII. Investing in education, training and vocational training for skills and lifelong learning:

115	Reducing and preventing early school-leaving and promoting equal access to good quality early-childhood, primary and secondary education including formal, non-formal and informal learning pathways for reintegrating into education and training	0 %
116	Improving the quality and efficiency of, and access to, tertiary and equivalent education with a view to increasing participation and attainment levels, especially for disadvantaged groups	0 %
117	Enhancing equal access to lifelong learning for all age groups in formal, non-formal and informal settings, upgrading the knowledge, skills and competences of the workforce, and promoting flexible learning pathways including through career guidance and validation of acquired competences	0 %
118	Improving the labour market relevance of education and training systems, facilitating the transition from education to work, and strengthening vocational education and training systems and their quality, including through mechanisms for skills anticipation, adaptation of curricula and the establishment and development of work-based learning systems, including dual learning systems and apprenticeship schemes	0 %

VIII. Enhancing institutional capacity of public authorities and stakeholders and efficient public administration:

119	Investment in institutional capacity and in the efficiency of public administrations and public services at the national, regional and local levels with a view to reforms, better regulation and good governance	0 %
120	Capacity building for all stakeholders delivering education, lifelong learning, training and employment and social policies, including through sectoral and territorial pacts to mobilise for reform at the national, regional and local levels	0 %

IX. Technical assistance:

121	Preparation, implementation, monitoring and inspection	0 %
122	Evaluation and studies	0 %
123	Information and communication	0 %

(¹) Restricted to investments related to environmental protection or accompanied by investments necessary to mitigate or reduce its negative environmental impact.



TABLE 2: CODES FOR THE FORM OF FINANCE DIMENSION

2. FORM OF FINANCE
01 Non-repayable grant
02 Repayable grant
03 Support through financial instruments: venture and equity capital or equivalent
04 Support through financial instruments: loan or equivalent
05 Support through financial instruments: guarantee or equivalent
06 Support through financial instruments: interest rate subsidy, guarantee fee subsidy, technical support or equivalent
07 Prize

TABLE 3: CODES FOR THE TERRITORIAL DIMENSION

3. TERRITORY TYPE
01 Large Urban areas (densely populated > 50 000 population)
02 Small Urban areas (intermediate density > 5 000 population)
03 Rural areas (thinly populated)
04 Macro regional cooperation area
05 Cooperation across national or regional programme areas in national context
06 ESF transnational cooperation
07 Not applicable

TABLE 4: CODES FOR THE TERRITORIAL DELIVERY MECHANISMS DIMENSION

4. TERRITORIAL DELIVERY MECHANISMS
01 Integrated Territorial Investment — Urban
02 Other integrated approaches to sustainable urban development
03 Integrated Territorial Investment — Other
04 Other integrated approaches to sustainable rural development
05 Other integrated approaches to sustainable urban/rural development
06 Community-led local development initiatives
07 Not applicable

TABLE 5: CODES FOR THEMATIC OBJECTIVE DIMENSION

5. THEMATIC OBJECTIVE (ERDF and the Cohesion Fund)
01 Strengthening research, technological development and innovation
02 Enhancing access to, and use and quality of, information and communication technologies
03 Enhancing the competitiveness of small and medium-sized enterprises
04 Supporting the shift towards a low-carbon economy in all sectors
05 Promoting climate change adaptation, risk prevention and management

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06	Preserving and protecting the environment and promoting resource efficiency
07	Promoting sustainable transport and removing bottlenecks in key network infrastructures
08	Promoting sustainable and quality employment and supporting labour mobility
09	Promoting social inclusion and combating poverty and any discrimination
10	Investing in education, training and vocational training for skills and lifelong learning
11	Enhancing the institutional capacity of public authorities and stakeholders and an efficient public administration
12	Not applicable (Technical assistance only)

▼M3

13	Fostering crisis repair in the context of the COVID-19 pandemic and its social consequences and preparing a green, digital and resilient recovery of the economy
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▼B

TABLE 6: CODES FOR THE SECONDARY THEME DIMENSION UNDER THE ESF

6. ESF SECONDARY THEME	Coefficient for the calculation of support to climate change objectives
01 Supporting the shift to a low-carbon, resource efficient economy	100 %
02 Social innovation	0 %
03 Enhancing the competitiveness of SMEs	0 %
04 Strengthening research, technological development and innovation	0 %
05 Enhancing the accessibility, use and quality of information and communication technologies	0 %
06 Non-discrimination	0 %
07 Gender equality	0 %
08 Not applicable	0 %

TABLE 7: CODES FOR THE ECONOMIC ACTIVITY DIMENSION

7. ECONOMIC ACTIVITY
01 Agriculture and forestry
02 Fishing and aquaculture
03 Manufacture of food products and beverages
04 Manufacture of textiles and textile products
05 Manufacture of transport equipment
06 Manufacture of computer, electronic and optical products
07 Other unspecified manufacturing industries
08 Construction
09 Mining and quarrying (including mining of energy producing materials)
10 Electricity, gas, steam, hot water and air conditioning
11 Water supply, sewerage, waste management and remediation activities
12 Transport and storage



13	Information and communication activities, including telecommunication, information service activities, computer programming, consultancy and related activities
14	Wholesale and retail trade
15	Tourism, accommodation and food service activities
16	Financial and insurance activities
17	Real estate, renting and business activities
18	Public administration
19	Education
20	Human health activities
21	Social work activities, community, social and personal services
22	Activities linked to the environment and to climate change
23	Arts, entertainment, creative industries and recreation
24	Other unspecified services

TABLE 8: CODES FOR THE LOCATION DIMENSION

8. LOCATION (2)	
Code	Location
	Code of region or area where operation is located/carried out, as set out in the Classification of Territorial Units for Statistics (NUTS) in the Annex to Regulation (EC) No 1059/2003 of the European Parliament and of the Council ⁽¹⁾

⁽¹⁾ Regulation (EC) No 1059/2003 of the European Parliament and of the Council of 26 May 2003 on the establishment of a common classification of territorial units for statistics (NUTS) (OJ L 154, 21.6.2003, p. 1).